



Securities Litigation and Arbitration

Trenam Law attorneys have extensive experience in securities and financial services litigation and arbitration. We represent companies, broker-dealers and their registered representatives in state and federal courts as well as in arbitration proceedings before the Financial Industry Regulatory Authority (FINRA), American Arbitration Association (AAA) and the Judicial Arbitration and Mediation Services (JAMS). We have defended clients in cases alleging churning, unauthorized trading, breach of fiduciary duty, unsuitable recommendations, failure to supervise and over-concentration. We represent clients in the enforcement of financial advisor promissory notes in FINRA arbitration proceedings. Additionally, we assist clients concerning account disputes.